CMPS 101
Midterm 1
Review Problems

Problem 19 in this handout may not be included. It depends on how far we get by Monday.

1. Let \( f(n) \) and \( g(n) \) be asymptotically non-negative functions which are defined on the positive integers.
   a. State the definition of \( f(n) = O(g(n)) \).
   b. State the definition of \( f(n) = \omega(g(n)) \)

2. State whether the following assertions are true or false. If any statements are false, give a related statement which is true.
   a. \( f(n) = O(g(n)) \) implies \( f(n) = o(g(n)) \).
   b. \( f(n) = O(g(n)) \) if and only if \( g(n) = \Omega(f(n)) \).
   c. \( f(n) = \Theta(g(n)) \) if and only if \( \lim_{n \to \infty} (f(n)/g(n)) = L \), where \( 0 < L < \infty \).

3. Prove that \( \Theta(f(n)) \cdot \Theta(g(n)) = \Theta(f(n) \cdot g(n)) \). In other words, if \( h_1(n) = \Theta(f(n)) \) and \( h_2(n) = \Theta(g(n)) \), then \( h_1(n) \cdot h_2(n) = \Theta(f(n) \cdot g(n)) \).

4. Let \( f(n) \) and \( g(n) \) be asymptotically positive functions (i.e. \( f(n) > 0 \) and \( g(n) > 0 \) for all sufficiently large \( n \)), and suppose that \( f(n) = \Theta(g(n)) \). Does it necessarily follow that \( \frac{1}{f(n)} = \Theta\left(\frac{1}{g(n)}\right) \)? Either prove this statement, or give a counter-example.

5. Give an example of functions \( f(n) \) and \( g(n) \) such that \( f(n) = o(g(n)) \) but \( \log(f(n)) \neq o(\log(g(n))) \). (Hint: Consider \( n! \) and \( n^n \) and use the corollary to Stirling’s formula in the handout on common functions.)

6. Let \( g(n) \) be an asymptotically non-negative function. Prove that \( o((g(n)) \cap \Omega(g(n)) = \emptyset \).

7. Use limits to prove the following (these are some of the exercises at the end of the asymptotic growth rates handout):
   a. If \( P(n) \) is a polynomial of degree \( k \geq 0 \), then \( P(n) = \Theta(n^k) \).
   b. For any positive real numbers \( \alpha \) and \( \beta \): \( n^\alpha = o(n^\beta) \) iff \( \alpha < \beta \), \( n^\alpha = \Theta(n^\beta) \) iff \( \alpha = \beta \), and \( n^\alpha = \omega(n^\beta) \) iff \( \alpha > \beta \).
   c. For any positive real numbers \( a \) and \( b \): \( a^n = o(b^n) \) iff \( a < b \), \( a^n = \Theta(b^n) \) iff \( a = b \), and \( a^n = \omega(b^n) \) iff \( a > b \).
   d. \( f(n) + o(f(n)) = \Theta(f(n)) \).
8. Let \( g(n) = n \) and \( f(n) = n + \frac{1}{2}n^2 (\sin(n) + 1) \). Show that
   \[ f(n) = \Omega(g(n)) \]
   \[ f(n) \neq O(g(n)) \]
   \[ \lim_{n \to \infty} \left( \frac{f(n)}{g(n)} \right) \text{ does not exist, even in the sense of being infinite.} \]
   Note: this is the ‘Example C’ mentioned in the handout on asymptotic growth rates.

9. Use Stirling’s formula: 
   \[ n! = \sqrt{2\pi n} \left( \frac{n}{e} \right)^n (1 + \Theta(1/n)) \]
   to prove that 
   \[ \log(n!) = \Theta(n \log n) \]

10. Use Stirling’s formula to prove that 
   \[ \frac{2n}{n} = \Theta\left( \frac{4^n}{\sqrt{n}} \right) \]

11. Consider the following sketch of an algorithm called ProcessArray which performs some unspecified operation on a subarray \( A[p \cdots r] \).
   
   ProcessArray\((A, p, r)\)
   
   (Preconditions: \(1 \leq p \text{ and } r \leq \text{length}[A] \))
   
   1. Perform 1 basic operation
   2. if \( p < r \)
   3. \( q \leftarrow \left\lfloor \frac{p+r}{2} \right\rfloor \)
   4. ProcessArray\((A, p, q)\)
   5. ProcessArray\((A, q+1, r)\)
   
   a. Write a recurrence formula for the number \( T(n) \) of basic operations performed by this algorithm when called on the full array \( A[1 \cdots n] \), i.e. by ProcessArray\((A, 1, n)\). (Hint: recall our analysis of MergeSort.)
   b. Show that the solution to this recurrence is \( T(n) = 2n - 1 \), whence \( T(n) = \Theta(n) \).

12. Consider the following algorithm which does nothing but waste time:
   
   WasteTime\((n)\)
   
   (pre: \( n \geq 1 \))
   
   1. if \( n > 1 \)
   2. for \( i \leftarrow 1 \) to \( n^3 \)
   3. waste 2 units of time
   4. for \( i \leftarrow 1 \) to 7
   5. WasteTime\((\left\lceil n/2 \right\rceil)\)
   6. waste 3 units of time
   
   a. Write a recurrence formula which gives the amount of time \( T(n) \) wasted by this algorithm.
   b. Show that when \( n \) is an exact power of 2, the solution to this recurrence relation is given by
   \[ T(n) = 16n^3 - \frac{1}{2} - \frac{31}{2}n^{2/7} \]
   and hence \( T(n) = \Theta(n^3) \).

13. Define \( T(n) \) by the recurrence formula
Use Induction to show that \( \forall n \geq 1: T(n) \leq 12n \), and hence \( T(n) = O(n) \).

14. Prove that all trees on \( n \) vertices have \( n-1 \) edges. Do this by (a) induction on the number of vertices, and (b) by induction on the number of edges.

15. Define \( S(n) \) for \( n \in \mathbb{Z}^+ \) by the recurrence:

\[
S(n) = \begin{cases} 
0 & \text{if } n = 1 \\
S(\lfloor n/2 \rfloor) + 1 & \text{if } n \geq 2
\end{cases}
\]

Use induction to prove that \( S(n) \geq \lg(n) \) for all \( n \geq 1 \), and hence \( S(n) = \Omega(\lg n) \).

16. Let \( f(n) \) be a positive, increasing function that satisfies \( f(n/2) = \Theta(f(n)) \). Show that

\[
\sum_{i=1}^{n} f(i) = \Theta(nf(n))
\]

(Hint: Emulate the Example on page 4 of the handout on asymptotic growth rates in which it is proved that \( \sum_{i=1}^{n} i^k = \Theta(n^{k+1}) \) for any positive integer \( k \).)

17. Use the result of the preceding problem to give an alternate proof of \( \log(n!) = \Theta(n \log(n)) \) that does not use Stirling’s formula.

18. Let \( T(n) \) be defined by the recurrence formula

\[
T(n) = \begin{cases} 
1 & n = 1 \\
T(\lfloor n/2 \rfloor) + n^2 & n \geq 2
\end{cases}
\]

Show that \( \forall n \geq 1: T(n) \leq \frac{4}{3} n^2 \), and hence \( T(n) = O(n^2) \).

19. Define \( T(n) \) by the recurrence formula:

\[
T(n) = \begin{cases} 
7 & 1 \leq n < 3 \\
2T(\lfloor n/3 \rfloor) + 5 & n \geq 3
\end{cases}
\]

a. Use the iteration method to determine a solution to the above recurrence.

b. Use the solution you found in part (a) to determine an asymptotic solution.